

SEP 30 2002

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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)


|                      |                    |
|----------------------|--------------------|
| Application Number   | 09/853,833         |
| Filing Date          | May 10, 2001       |
| First Named Inventor | Salvatore Leonardi |
| Group Art Unit       | 2826               |
| Examiner Name        | Leonardo Andujar   |
| Attorney Docket No.  | 856063.694         |

## ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input type="checkbox"/> Cited References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input checked="" type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><u>Request for Drawing Change</u><br>_____<br>_____ |
|---|--|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                 |                            |  |
|-----------------|----------------------------|--|
| Individual Name | E. Russell Tarleton        | <br><b>00500</b><br>PATENT TRADEMARK OFFICE |
| Signature       | <i>E. Russell Tarleton</i> |  |
| Date            | September 30, 2002         |  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

|                       |  |      |
|-----------------------|--|------|
| Typed or printed name |  |      |
| Signature             |  | Date |

SEP 30 2002  
PATENT & TRADEMARK OFFICE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 110

Complete if Known

Application Number 09/853,833  
Filing Date May 10, 2001  
First Named Inventor Salvatore Leonardi  
Examiner Name Leonardo Andujar  
Group Art Unit 2826  
Attorney Docket No 856063.694

## METHOD OF PAYMENT

☒ Payment Enclosed  
☒ Check ☐ Credit card ☐ Money Order ☐ Other  
☐ Deposit Account  
 Deposit Account Number 19-1090  
 Deposit Account Name Seed Intellectual Property Law Group PLLC  
 The Commissioner is authorized to (check all that apply)  
☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any deficiencies  
☐ to the above-identified deposit account  
☐ Applicant claims small entity status. See 37 CFR 1.27

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     |          |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$) 0   |

### 2. EXTRA CLAIM FEES

|                    |    |         |   |      |   |   |
|--------------------|----|---------|---|------|---|---|
| Total Claims       | 11 | -23** = | 0 | * 18 | = | 0 |
| Independent Claims | 3  | -6** =  | 0 | * 84 | = | 0 |
| Multiple Dependent |    |         |   | *    |   | 0 |

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |          |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                          |          |
| 104          | 280      | 204          | 140      | Multiple dependent claim, if not paid                      |          |
| 109          | 84       | 209          | 42       | ** Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          |              |          |  | (\$) 0   |

\*\*for number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)


### 3. ADDITIONAL FEES

| Large Entity |          | Small    |          | Fee Description  | Fee Paid |
|--------------|----------|----------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code | Fee (\$) |  |          |
| 105          | 130      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127          | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139      | 130      | Non-English specification  |          |
| 147          | 2 520    | 147      | 2 520    | For filing a request for ex parte reexamination                            |          |
| 112          | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1 840*   | 113      | 1 840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215      | 55       | Extension for reply within first month                                     | 110      |
| 116          | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117          | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118          | 1 440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128          | 1 960    | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119          | 320      | 219      | 160      | Notice of Appeal   |          |
| 120          | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121          | 280      | 221      | 140      | Request for oral hearing   |          |
| 138          | 1 510    | 138      | 1 510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141          | 1 260    | 241      | 630      | Petition to revive - unintentional   |          |
| 142          | 1 280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143          | 460      | 243      | 230      | Design issue fee   |          |
| 144          | 620      | 244      | 310      | Plant issue fee  |          |
| 122          | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123          | 50       | 123      | 50       | Petitions related to provisional applications                              |          |
| 126          | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 740      | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 110

|                   |                            |   |                    |
|-------------------|----------------------------|---|--------------------|
| SUBMITTED BY      |                            | <br>00500<br>PATENT & TRADEMARK OFFICE |                    |
| Name (Print/Type) | E. Russell Tarleton        |   |                    |
| Firm Name/Address |                            |   |                    |
| Signature         | <i>E. Russell Tarleton</i> |   |                    |
| Registration No   | 31,800                     | Date  | September 30, 2002 |